# IAPO9Rec'd PCT 10 DEC 2007

[10191/4431]

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors

Hans-Dieter BOTHE et al.

Application No.

10/563,992

Filing Date

July 22, 2004

Title

ANTIGLARE SYSTEM FOR A VEHICLE

Examiner

To be assigned

Art Unit

To be assigned

Confirmation No.

7077

Mail Stop PCT

Commissioner for Patents

Office of PCT Legal Administration

P.O. Box 1450

Alexandria, Virginia 22313-1450

#### **TRANSMITTAL**

SIR:

Transmitted herewith is a "Petition Under 35 §1.497(d) or §1.137(b)." Please note additionally enclosed the following which accompany this response:

Statements of added inventors, Reiner Marchthaler and Matthias Wellhöfer; and Consent of Assignee.

The Office is authorized to charge the \$130.00 fee set forth in 1.17(i) to Kenyon & Kenyon LLP's Deposit Account No. 11-0600 (to the extent the present Petition should be properly filed under 37 C.F.R. § 1.497(d), which is believed to be appropriate by Applicants). Alternatively, the Commissioner is hereby authorized to charge the petition fee of \$1,540.00 (only if the present Petition should be properly filed under 37 C.F.R. § 1.137(b)) to Deposit Account No. 11-0600. The Commissioner is authorized as necessary to charge any additional fees or credit any overpayment to Deposit Account No. 11-0600. A duplicate copy of this transmittal letter is enclosed for that purpose.

12/13/2007 GFREY1

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02 FC:1453

1540,00 DA

Dated: December 10, 2007

Respectfully submitted,

By: JONG LEE FOR GARD MESSIND

Gerard A. Messina

Reg. No. 35,952

KENYON & KENYON LLP

One Broadway

New York, New York 10004

(212) 425-7200

**CUSTOMER NO. 26646** 

NY01 1395255 v1

Express Mail No.: EM 007634507 US

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors : Hans-Dieter BOTHE et al.

Application No. : 10/563,992 Filing Date : July 22, 2004

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Mail Stop PCT Commissioner for Patents Office of PCT Legal Administration P.O. Box 1450 Alexandria, Virginia 22313-1450

#### PETITION UNDER § 1.497(d) or 37 C.F.R. § 1.137(b)

SIR:

In response to the "Communication & Notification of Abandonment" mailed on January 5, 2007, Applicants submit this Petition. In the "Discussion" section of the "Communication & Notification of Abandonment," it was indicated that "a proper response must include a petition under 37 C.F.R. 1.497(d), if the International Bureau has not recorded a change under PCT Rule 92bis." However, in the "Conclusion" section of the "Communication & Notification of Abandonment," it was indicated that "Applicants may wish to consider filing a petition . . . under 37 C.F.R. 1.137(a) or (b) requesting that the application be revived." In view of these conflicting statements regarding the appropriate response, Applicants request that the present Petition be considered <u>alternatively</u> under 37 C.F.R. 1.497(d) (which is believed to be appropriate by Applicants) <u>or</u> under 37 C.F.R. 1.137(b), depending on whichever section is deemed more appropriate by the Office.

To the extent the present Petition should be properly filed under 37 C.F.R. 1.497(d), Applicants provide herewith the following submissions under 37 C.F.R. 1.497(d) to correct an error in naming inventorship:

- (1) Statements of Added Inventors, Reiner Marchthaler and Matthias Wellhöfer;
- (2) The processing fee set forth in 1.17(i); and
- (2) Consent of Assignee.

The accompanying Transmittal paper authorizes the Office to charge the \$130.00 fee set forth in 1.17(i) to Kenyon & Kenyon LLP's Deposit Account No. 11-0600. Although the Statements of Added Inventors and the Consent of Assignee reference 37 C.F.R. 1.48, Applicants request that these documents be considered as being filed under 37 C.F.R. 1.497(d), to the extent 37 C.F.R. 1.497(d) is the appropriate provision for filing the present Petition. 12/13/2007 GFREY1 00000063 110600 10563992

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Express Mail No.: EM 007634507 US

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Alternatively, to the extent the present Petition should be properly filed

under 37 C.F.R. § 1.137(b), Applicants: a) request that the Statements of Added Inventors and the Consent of Assignee be considered as being filed under 37 C.F.R. § 1.137(b); b) state that the entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition pursuant to 37 C.F.R. §1.137(b) was unintentional; and c) note that the accompanying Transmittal paper authorizes the Office to charge the \$1,540 petition fee under 37 C.F.R. § 1.17(m) to Kenyon & Kenyon LLP's Deposit Account 11-0600.

A favorable decision on this Petition and the reinstatement of the present application are respectfully requested.

The Commissioner is also authorized to charge (or credit) any additional or other fees (including any extension fees), as may be appropriate or necessary, to **Deposit Account 11-0600**.

Respectfully submitted,

JONG LEE FOR Gazad Messina

Gerard A. Messina Reg. No. 35,952

KENYON & KENYON LLP

One Broadway

New York, New York 10004

(212) 425-7200

**CUSTOMER NO. 26646** 

Dated: December 10, 2007

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors

Hans-Dieter BOTHE et al.

Application No.

10/563,992

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#### **PETITION UNDER § 1.497(d) or 37 C.F.R. § 1.137(b)**

SIR:

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To the extent the present Petition should be properly filed under 37 C.F.R. 1.497(d), Applicants provide herewith the following submissions under 37 C.F.R. 1.497(d) to correct an error in naming inventorship:

- (1) Statements of Added Inventors, Reiner Marchthaler and Matthias Wellhöfer;
- (2) The processing fee set forth in 1.17(i); and
- (2) Consent of Assignee.

The accompanying Transmittal paper authorizes the Office to charge the \$130.00 fee set forth in 1.17(i) to Kenyon & Kenyon LLP's Deposit Account No. 11-0600. Although the Statements of Added Inventors and the Consent of Assignee reference 37 C.F.R. 1.48, Applicants request that these documents be considered as being filed under 37 C.F.R. 1.497(d), to the extent 37 C.F.R. 1.497(d) is the appropriate provision for filing the present Petition.

Express Mail No.: EM 007634507 US

NY01 1453333 v1

Alternatively, to the extent the present Petition should be properly filed

under 37 C.F.R. § 1.137(b), Applicants: a) request that the Statements of Added Inventors and the Consent of Assignee be considered as being filed under 37 C.F.R. § 1.137(b); b) state that the entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition pursuant to 37 C.F.R. §1.137(b) was unintentional; and c) note that the accompanying Transmittal paper authorizes the Office to charge the \$1,540 petition fee under 37 C.F.R. § 1.17(m) to Kenyon & Kenyon LLP's Deposit Account 11-0600.

A favorable decision on this Petition and the reinstatement of the present application are respectfully requested.

The Commissioner is also authorized to charge (or credit) any additional or other fees (including any extension fees), as may be appropriate or necessary, to **Deposit Account 11-0600**.

Respectfully submitted,

Dated: December 10, 2007

By: JONG LEE for Gazed Messina

Gerard A. Messina Reg. No. 35,952

KENYON & KENYON LLP

One Broadway

New York, New York 10004

(212) 425-7200

**CUSTOMER NO. 26646** 

T.	NITED STATES DEPARTMENT PATENT AND TRADEMAR		
STATEMENT UN	DER 37 C.F.R. §1.48(a)(1)	Docket Number: 10191/4431	
Application Number		to be assigned to be assigned	
Invention Title ANTIGLARE SYSTEM FOR A VEHICLE		Inventor(s) MARCHTHAE	R et al.

Address to:
Mail Stop Petitions
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

## STATEMENT UNDER 37 C.F.R. § 1.48(a)(1)

I, **Reiner MARCHTHALER**, hereby state that the error in inventorship occurred without any deceptive intent on my part.

Date:

V 2007/June 115

Reiner MARCHTHALER

J	INITED STATES DEPARTMENT PATENT AND TRADEMAR		
STATEMENT UN	DER 37 C.F.R. §1.48(a)(1)	Docket Number: 10191/4431	
Application Number 10/563,992	Int'l. Filing Date July 22, 2004	to be assigned to be assigned	
Invention Title ANTIGLARE SYSTI	EM FOR A VEHICLE	Inventor(s)  MARCHTHAE	R et al.

Address to:

Mail Stop Petitions

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

# STATEMENT UNDER 37 C.F.R. § 1.48(a)(1)

I, Matthias WELLHÖFER, hereby state that the error in inventorship occurred without any deceptive intent on my part.

Date:

X 2007/July/3rd

Watthias WELLHÖFER

UNITED STATES DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE					
CONSENT OF ASS INVENTORSHIP	IGNEE TO CHANGE OF	Docket Number: 10191/4431			
Application Number 10/563,992	Int'l. Filing Date February 6, 2006	Examiner to be assigned	Art Unit to be assigned		
Invention Title	M FOR A VEHICLE	Inventor(s) MARCHTHAE	P et al		

Address to:

Mail Stop Petitions

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

#### CONSENT OF ASSIGNEE TO CHANGE OF INVENTORSHIP

Sir:

Robert Bosch GmbH, whose address is Postfach 30 02 20, 70442 Stuttgart, Federal Republic of Germany, is the owner of the entire right, title and interest in the above-identified patent application, having taken that right, title and interest by assignment from the actual inventors. A Certificate Under 37 CFR 3.73(b) with a copy of the assignment is attached.

Robert Bosch GmbH has been apprised of the mistake in the listing of the actual inventors for the application and the petition that the Applicants will be making to the Commissioner to amend the application and correct inventorship. Pursuant to 37 CFR 1.48(a)(4), Robert Bosch GmbH hereby gives its consent to the Applicants' petition and respectfully requests that the Commissioner grant the petition. The original PCT application papers listed the following persons as inventors:

Hans-Dieter BOTHE Hoang TRINH Heiko FREIENSTEIN Thomas ENGELBERG

The actual inventors are:

Reiner MARCHTHALER Hans-Dieter BOTHE Hoang TRINH Heiko FREIENSTEIN Thomas ENGELBERG Matthias WELLHOEFER The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee, Robert Bosch GmbH.

Date:

04.07.2007

Name:

Juergen Friedmann

Joachim Bee

Title:

authorized corporate

authorized representative

officer

Signature:

b

FORM PTO 4595 (REV. 6/04)

## RECORDATION FORM COVER SHEET U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office PATENTS ONLY

Patent and Trademark Office
PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record	d the attached original documents or copy thereof					
1. Name of conveying parties: Reiner MARCHTHALER; Hans-Dieter BOTHE; Hoang TRINH; Heiko FREIENSTEIN; Thomas ENGELBERG; and Matthias WELLHOEFER  Additional name(s) of conveying parties attached? ☐ Yes ☑ No	2. Name and address of receiving party:  Name: Robert Bosch GmbH Internal Address:  Street Address: Postfach 30 02 20  City: D-70442 Stuttgart  Country: Federal Republic of Germany  ZIP:					
3. Nature of conveyance:  ☑ Assignment ☐ Merger ☐ Security Agreement ☐ Change of Name ☐ Other:	Additional name(s) & address(es) attached? ☐ Yes ☑ No					
Execution dates: Mar. 13, 2006, Feb. 20, 2006, Mar. 8, 2006, Mar. 3, 2006, Feb. 28, 2006, and Mar. 3, 2006						
4. Application numbers or patent numbers: If this document is being filed together with a new application, the exc	ecution date of the application is:					
A. Patent Applications: 10/563,992	B. Patent No.(s):					
Additional Numbers	s attached? □ Yes ເ No					
5. Name and address of party to whom correspondence concerning document should be mailed:  Name: Gerard A. Messina, Esq. Internal Address: KENYON & KENYON LLP Street Address: One Broadway City: New York State: New York ZIP: 10004 CUSTOMER NO. 26646	6. Total number of applications and patents involved: 1  7. Total fee (37 C.F.R. 3.41)					
DO NOT U	SE THIS SPACE					
9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and	correct and any attached copy is a true copy of the original document.					
Gerard A. Messina (Reg. No. 35,952)  Name of Person Signing  Signature	5 (31(06) Date					
	er sheet, attachments and document: 11					
OMB No. 0651-0027 (exp. 6/30/2005)	ach this portion					
Mail documents to be recorded with required cover sheet information to:	acii tins portion					
Mail Stop Assignment Recordation Services Director of the U. S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450						
Public burden reporting for this sample cover sheet is estimated to average abordocument and gathering the data needed and completing and reviewing the sam and Trademark Office, Office of information Systems, PK2-1000C, Washington Project (0605-0011), Washington, D.C. 20503	out 30 minutes per document to be recorded, including time for reviewing the inple cover sheet. Send comments regarding this burden estimate to the U.S. Patent on D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction					

[10191/4431]

Weingalenbergle 78 3.3.06 Wi 70495 Stuttgat

### **ASSIGNMENT**

WHEREAS, we,

Reiner MARCHTHALER Kastanienweg 13 73333 Gingen Federal Republic of Germany Citizenship: Federal Republic of Germany

**Hans-Dieter BOTHE** Bornstr. 67 30926 Seelze Federal Republic of Germany Citizenship: Federal Republic of Germany

Alter Markt-13 STUTTGARTER STRASSE 30
31134 Hildesheim FAQS4 DITZINGEN

Federal Republic of Germany

Citizenship: Federal Republic of Germany

Heiko FREIENSTEIN

Heiko FREIENSTEIN

Luisenstr. 4a Enka - Weigle - Wey 12

31141 Hildesheim 71263 Hirdesteine Weilder Stadt

03/08/06 Luisenstr. 4a

Citizenship: Federal Republic of Germany

**Thomas ENGELBERG** Bleicherstr. 21 31137 Hildesheim Federal Republic of Germany Citizenship: Federal Republic of Germany

and

**Matthias WELLHOEFER** Liegnitzer Str. 68 71701 Schwieberdingen-Federal Republic of Germany

Citizenship: Federal Republic of Germany

have made inventions and discoveries in ANTIGLARE SYSTEM FOR A **VEHICLE**, for which an application for Letters Patent was filed as PCT International Application No. PCT/DE2004/001628, on July 22, 2004, and

WHEREAS ROBERT BOSCH GMBH, having a place of business at Postfach 30 02 20, 70442 Stuttgart, Federal Republic of Germany, and who, together with its successors and assigns, is hereinafter called "Assignee," is desirous of acquiring the title, rights, benefits, and privileges hereinafter recited,

NOW, THEREFORE, for valuable consideration furnished by Assignee to us, receipt and sufficiency of which we hereby acknowledge, we hereby, without reservations:

- 1. Assign, transfer, and convey to Assignee the entire right, title, and interest in and to said inventions and discoveries, said application for Letters Patent of the United States of America, any and all other applications for Letters Patent on said inventions and discoveries in any and all countries, including all divisional, renewal, substitute, continuation, and Convention applications based in whole or in part upon said inventions or discoveries, or upon said applications, and any and all Letters Patent, reissues, and extensions of Letters Patent granted for said inventions and discoveries or upon said applications, and every priority right that is or may be predicated upon or arise from said inventions, said discoveries, said applications, and said Letters Patent.
- 2. Authorize Assignee to file patent applications in any or all countries for any or all of said inventions and discoveries in our names or in the name of Assignee or otherwise as Assignee may deem advisable, under an International Convention or otherwise.
- 3. Authorize and request the Commissioner of Patents and Trademarks of the United States of America and the empowered officials of all other governments to issue or transfer all said Letters Patent to Assignee, as assignee of the entire right, title, and interest therein or otherwise as Assignee may direct.
- 4. Warrant that we have not conveyed to others any right, title, or interest in said inventions, discoveries, applications, or patents or any license to use the same or to make, use, or sell anything embodying or utilizing any of said inventions or discoveries; that we have good right to assign the same to Assignee without encumbrance; and that we are aware of no claim to the contrary.
- 5. Bind our heirs, legal representatives, and assigns, as well as ourselves, to do, upon Assignee's request and at Assignee's expense, but without additional consideration to us or them, all acts reasonably serving to assure that the said inventions and discoveries, the said patent applications, and the said Letters Patent shall be held and enjoyed by Assignee as fully and entirely as the same could have been held and enjoyed by us, our heirs, legal representatives, and assigns if this assignment had not been made; and particularly to execute and deliver to Assignee all lawful application documents including petitions, specifications, and oaths, and all assignments, disclaimers, and lawful affidavits in form and substance as may be requested by Assignee; to communicate to Assignee all facts known to us relating to said inventions and discoveries or the history thereof; to furnish Assignee with any and all documents, photographs, models, samples, and other physical exhibits in our control or in the control of our heirs, legal representatives, or assigns which may be useful for establishing the facts of our conceptions, disclosures, and reduction to practice of said inventions and discoveries; and to testify to the same in any interference, arbitration, or litigation.

	IN TESTIMONY	WHEREOF, I have h	hereunto set my hand and s	eal this
X13_	day of March	, 2006.	·.	

Reiner MARCHTHALER

	IN TES	TIMONY	WHERE	OF, I have he	ereunto se	t my hand	and seal	this
<u>) 20 </u>	day of 🕹	Febr	nary	, 2006.		·		

Man Out Bothe Hans-Dieter BOTHE

(		IN TE	STIM	ONY WH	EREOF, I have he	reunto set my l	hand and so	eal this
	X03	day of	$\mathbf{X}_{-}$	March	, 2006.	,		

Hoang TRINH

	IN TES	STIN	MONY V	VHEREOF, I I	nave hereunto	set my	hand and	l seal	this
$\overline{X}$	<u>53</u> day of _	X	Mich	, 20	06.				

Heiko FREIENSTEIN

NY01 1043182 v1 6

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal this day of X Juhnany, 2006.

Chomas ENGELBERG

7

IN TESTIMONY W	HEREOF, I have hereunto	set my hand and seal this
X 3 day of X march	, 2006.	

Watthias WELLHOEFER

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